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Advanced Certificate in Global Securities Operations (AGSO)

What is the AGSO?

The Advanced Certificate in Global Securities Operations enables candidates to develop their skills and knowledge of operations within the global marketplace.

This Advanced Certificate will equip you with the confidence and qualifications to pursue a senior management position within operations. It explores the challenges of securities operations and covers best practice techniques used in the industry. It builds on the well-established CISI Investment Operations Certificate (IOC) and is a stepping-stone to the Diploma in Investment Operations.

Who should study the qualification?

It is primarily aimed at supervisors, team leaders, assistant managers, managers and senior technical specialists working in investment operations (custody, settlements, corporate actions, treasury operations/ cash management, derivatives and reconciliations). regulators around the world, so both individuals and firms can be confident that the CISI's processes, policies and systems meet rigorous quality assurance standards.

Key features

- **Advanced level qualification** - for those seeking to specialise in global operations
- **Scottish Investment Operations (SIO)** – are supporters of the qualification and helped develop the syllabus
- **Access to higher level qualifications** - successful completion can be used as an entry to the highly regarded Diploma in Investment Operations
- **Free CISI Student membership** - become associated with a chartered professional body and take advantage of an extensive range of benefits
- **ACSI designatory letters**
- Exams available online via **remote invigilation** or at one of our **global test centres**

"This is just what the industry needs - a relevant advanced investment operations qualification"

John Hogg MCSI,
Department Manager, Citi and Chairman of SIO

How is the qualification structured?

The qualification is achieved by successfully passing:



Syllabus Summary

The Certificate comprises two units:

Global Securities Operations

- Securities
- Main industry participants
- Settlement characteristics
- Other investor services
- Aspects of taxation
- Risk

Advanced Global Securities Operations

- Investment Account Openings
- UK Market and Stock Exchange Fees and Taxes
- Settlement
- Custodians
- Stock Lending and Stock Borrowing
- Cash Management
- Corporate Entitlements
- Straight-through Processing and Technology
- Service Level Agreements
- Managing Client Transitions to meet client-led objectives

Studying for the Advanced Certificate in Global Securities Operations

Global Securities Operations: 80 hours

Advanced Global Securities Operations: 100 hours

You can choose to self-study for the exams using CISI learning manuals and elearning or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit, [cisi.org/atp](https://www.cisi.org/atp)

The CISI's learning manual policy requires, where available, the most up-to-date CISI learning manual to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date learning manual for that subject in PDF format and this is included in the international exam price.

Book your exam

Online – [cisi.org/agso](https://www.cisi.org/agso)
 By phone – +44 20 7645 0777
 Via email – customersupport@cisi.org

Don't forget to set your results preferences afterwards at [cisi.org/resultsdashboard](https://www.cisi.org/resultsdashboard)

Visit [cisi.org/prices](https://www.cisi.org/prices) for current prices

Next steps

CISI Membership

If you are not currently a CISI member you will receive free CISI Student membership whilst you study and have access to a wide range of benefits. Upon successful completion of the Advanced Certificate you will have the opportunity to upgrade to Associate membership of the Institute. CISI membership enables you to develop your career, increase your competence and maintain the highest standards of personal integrity. Visit [cisi.org/membership](https://www.cisi.org/membership)

Higher Level Qualifications

Diploma in Investment Operations

Once you have successfully completed the Advanced Certificate in Global Securities Operations you will have the opportunity to progress to the Diploma in Investment Operations. To achieve the Diploma you will only need to pass one more exam (Global Operations Management). The Diploma is our highest level operations qualification and will prepare you for a senior role in the industry. Visit [cisi.org/dio](https://www.cisi.org/dio)